

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, China Construction Bank Corporation
(**"Foreign Bank"**) hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

- ☒ This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.
- ☐ This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

- ☒ Foreign Banks maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: No.25, Finance Street, Xicheng District, Beijing 100033, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in The People's Republic of China (insert country), where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by National Financial Regulatory Administration and The People's Bank of China, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.
- ☐ Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- ☐ Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- ☒ No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- ☐ 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- ☒ 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

- ☐ 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one person.

Name	Address

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

The following individual or entity: CCB New York Branch is a resident of the United States at the following street address:

1095 Avenue of Americas, 33rd Floor, New York, 10036, U.S.A.,
and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions. I, Ma Yuli (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

China Construction Bank Corporation
[Name of Foreign Bank]

[Signature]

Ma Yuli

[Printed Name]

Deputy General Manager

[Title]

Executed on this 15 day of September, 2025.

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

Paperwork Reduction Act Notice

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**ATTACHMENT TO CHINA CONSTRUCTION BANK (CCB)
GLOBAL CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS
FOR CCB BRANCHES, OFFICES AND SUBSIDIARIES**

NAME	ADDRESS	AUTHORITY
Anhui Branch	No. 2358, Yungu Road, Hefei	National Financial Regulatory Administration Anhui Office PBOC Anhui Provincial Branch
	Postcode: 230001	
Beijing Branch	Entry.4, Building 28, Xuanwumen West Street, Beijing	National Financial Regulatory Administration Beijing Office PBOC Beijing Municipal Branch
	Postcode: 100053	
Chongqing Branch	No. 123, Minzu Road, Yuzhong District, Chongqing	National Financial Regulatory Administration Chongqing Office PBOC Chongqing Municipal Branch
	Postcode: 400010	
Dalian Branch	No. 1, Jiefang Street, Zhongshan District, Dalian	National Financial Regulatory Administration Dalian Office PBOC Dalian Branch
	Postcode: 116001	
Fujian Branch	No.298, Jiangbin Middle Avenue, Taijiang District, Fuzhou	National Financial Regulatory Administration Fujian Office PBOC Fujian Provincial Branch
	Postcode: 350009	
Gansu Branch	No. 77, Qin'an Road, Lanzhou	National Financial Regulatory Administration Gansu Office PBOC Gansu Provincial Branch
	Postcode: 730030	
Guangdong Branch	No. 509, Dongfengzhong Road, Guangzhou	National Financial Regulatory Administration Guangdong Office PBOC Guangdong Provincial Branch
	Postcode: 510045	
Guangxi Branch	No. 90, Minzu Avenue, Nanning	National Financial Regulatory Administration Guangxi Office PBOC Guangxi Provincial Branch
	Postcode: 530022	
Guizhou Branch	No. 148, North Zhonghua Road, Guiyang	National Financial Regulatory Administration Guizhou Office PBOC Guizhou Provincial Branch
	Postcode: 550001	
Hainan Branch	CCB Plaza, No. 8, Guomao Road, Haikou	National Financial Regulatory Administration Hainan Office PBOC Hainan Provincial Branch
	Postcode: 570125	
Hebei Branch	No. 40, Ziqiang Road, Shijiazhuang	National Financial Regulatory Administration Hebei Office PBOC Hebei Provincial Branch
	Postcode: 050000	

NAME	ADDRESS	AUTHORITY
Henan Branch	No.80, Huayuan Road, Zhengzhou	National Financial Regulatory Administration Henan Office PBOC Henan Provincial Branch
	Postcode: 450003	
Heilongjiang Branch	No. 67, Hongjun Street, Nan' gang District, Harbin	National Financial Regulatory Administration Heilongjiang Office PBOC Heilongjiang Provincial Branch
	Postcode: 150001	
Hubei Branch	No. 709, Jianshe Street, Wuhan	National Financial Regulatory Administration Hubei Office PBOC Hubei Provincial Branch
	Postcode: 430015	
Hunan Branch	No. 2, Baisha Road, Changsha	National Financial Regulatory Administration Hunan Office PBOC Hunan Provincial Branch
	Postcode: 410005	
Jilin Branch	No. 810, Xi'an Road, Changchun	National Financial Regulatory Administration Jilin Office PBOC Jilin Provincial Branch
	Postcode: 130061	
Jiangsu Branch	No. 188, Hongwu Road, Nanjing	National Financial Regulatory Administration Jiangsu Office PBOC Jiangsu Provincial Branch
	Postcode: 210002	
Jiangxi Branch	No. 366, Bayi Street, Nanchang	National Financial Regulatory Administration Jiangxi Office PBOC Jiangxi Provincial Branch
	Postcode: 330006	
Liaoning Branch	No. 40, Nan'er Road, Heping District, Shenyang	National Financial Regulatory Administration Liaoning Office PBOC Liaoning Provincial Branch
	Postcode: 110002	
Inner Mongolia Branch	No. 6, Daxue East Street, Saihan District, Huhhot	National Financial Regulatory Administration Inner Mongolia Office PBOC Inner Mongolia Autonomous Region Branch
	Postcode: 010010	
Ningbo Branch	No. 255, Baohua Street, Ningbo	National Financial Regulatory Administration Ningbo Office PBOC Ningbo Branch
	Postcode: 315042	
Ningxia Branch	No. 98, Nanxun West Street, Xingqing District, Yinchuan	National Financial Regulatory Administration Ningxia Office PBOC Ningxia Hui Autonomous Region Branch
	Postcode: 750001	
Qingdao Branch	No. 222, Shenzhen Road, Laoshan District, Qingdao	National Financial Regulatory Administration Qingdao Office PBOC Qingdao Branch
	Postcode: 266061	

NAME	ADDRESS	AUTHORITY
Qinghai Branch	No. 59, West Street, Xining	National Financial Regulatory Administration Qinghai Office PBOC Qinghai Provincial Branch
	Postcode: 810000	
Shandong Branch	No. 168, North Long'ao Road, Jinan	National Financial Regulatory Administration Shandong Office PBOC Shandong Provincial Branch
	Postcode: 250099	
Shaanxi Branch	No. 1589, Zhuquenan Road, Yanta District, Xi'an	National Financial Regulatory Administration Shaanxi Office PBOC Shaanxi Provincial Branch
	Postcode: 710061	
Shanxi Branch	No. 126, Yingze Street, Taiyuan	National Financial Regulatory Administration Shanxi Office PBOC Shanxi Provincial Branch
	Postcode: 030001	
Shanghai Branch	No.900, Lujiazui Ring Road, Shanghai	National Financial Regulatory Administration Shanghai Office PBOC Shanghai Branch
	Postcode: 200120	
Shenzhen Branch	No. 8, Pengcheng 1st Road, Futian District, Shenzhen	National Financial Regulatory Administration Shenzhen Office PBOC Shenzhen Branch
	Postcode: 518038	
Sichuan Branch	Sichuan CCB Building, No. 86, Tidu Street, Chengdu	National Financial Regulatory Administration Sichuan Office PBOC Sichuan Provincial Branch
	Postcode: 610016	
Suzhou Branch	No. 18, Suhua Avenue West, Suzhou Industrial Park, Suzhou	National Financial Regulatory Administration Jiangsu Office PBOC Jiangsu Provincial Branch
	Postcode: 215021	
Tianjin Branch	Plus 1 No.19, Nanjing Road, Hexi District, Tianjin	National Financial Regulatory Administration Tianjin Office PBOC Tianjin Municipal Branch
	Postcode: 300203	
Xiamen Branch	No. 98, Lujiang Road, Xiamen	National Financial Regulatory Administration Xiamen Office PBOC Xiamen Branch
	Postcode: 361001	
Xizang Branch	No. 21, Beijing West Road, Lhasa	National Financial Regulatory Administration Xizang Office PBOC Tibet Autonomous Region Branch
	Postcode: 850000	
Xinjiang Branch	No. 99, Minzhu Road, Urumqi	National Financial Regulatory Administration Xijiang Office PBOC Xijiang Uygur Autonomous Region Branch
	Postcode: 830002	

NAME	ADDRESS	AUTHORITY
Yunnan Branch	CCB Plaza, Jinbi Road, Kunming	National Financial Regulatory Administration Yunnan Office PBOC Yunnan Provincial Branch
	Postcode: 650021	
Zhejiang Branch	No. 33, Jiefang East Road, Hangzhou	National Financial Regulatory Administration Zhejiang Office PBOC Zhejiang Provincial Branch
	Postcode: 310016	
Hong Kong Branch	28/F, CCB Tower, 3 Connaught Road Central, Central, Hong Kong	Hong Kong Monetary Authority
Singapore Branch	9 Raffles Place, #39-01/02, Republic Plaza, Singapore 048619	Monetary Authority of Singapore
Frankfurt Branch	Bockenheimer Landstrasse 75,60325 Frankfurt am Main, Germany	Bundesanstalt für Finanzdienstleistungsaufsicht Deutsche Bundesbank
Johannesburg Branch	95 Grayston Drive, Morningside, Sandton, South Africa 2196	South African Reserve Bank Financial Sector Conduct Authority
Tokyo Branch	17F/1F, West Tower, Otemachi First Square, 5-1, Otemachi 1- chome Chiyoda-ku, Tokyo 100- 0004 Japan	Financial Service Agency
Seoul Branch	China Construction Bank Tower, 24 Myeongdong 11-gil, Jung-gu, Seoul 04538, Korea	Financial Supervisory Service
New York Branch	33rd Floor, 1095 Avenue of Americas, New York, USA NY 10036	Federal Reserve Bank of New York New York State Department of Financial Services
Ho Chi Minh City Branch	11th Floor Sailing Tower, 111A Pasteur Street, District 1, Ho Chi Minh City, Vietnam	State Bank of Vietnam
Sydney Branch	Level 31, 88 Phillip Street, Sydney, NSW 2000, Australia	The Australian Prudential Regulation Authority Australian Securities & Investments Commission

NAME	ADDRESS	AUTHORITY
Taipei Branch	1/F, No. 108, Sec.5, Xinyi Road, Xinyi Dist., Taipei 11047, Taiwan	Taiwan Financial Supervisory Commission
Luxembourg Branch	16 Boulevard Royal, L-2449 Luxembourg, Luxembourg	Commission De Surveillance du Secteur Financier
Macau Branch	5th Floor, Circle Square, 61 Avenida de Almeida Ribeiro, Macau	Monetary Authority of Macao
London Branch	111 Old Broad Street, London, EC2N 1AP, U.K.	Prudential Regulation Authority Financial Conduct Authority
Toronto Branch	181 Bay Street, Suite 3650, Toronto ON, Canada, M5J 2T3	Office of the Superintendent of Financial Institutions
Chile Branch	Isidora Goyenechea 2800, 30th Floor, Santiago, Chile	Comisión de Mercado Financiero
Zurich Branch	Beethovenstrasse 33, 8002 Zurich, Switzerland	Swiss Financial Markets Supervisory Authority
DIFC Branch	31st Floor, Tower 2, Al Fattan Currency House, DIFC, 128220, Dubai, United Arab Emirates	Dubai Financial Services Authority
New Zealand Branch	Level 29, the Vero Centre, 48 Shortland Street, Auckland 1010, New Zealand	Reserve Bank of New Zealand
Astana Branch	26th Floor, Talan Towers, 16 Dostyk street, Esil district, Astana city, the Republic of Kazakhstan	Astana Financial Services Authority
Labuan Branch	Level 13(E), Main Office Tower, Financial Park, Jalan Merdeka Labuan, Malaysia	Labuan Financial Services Authority
CCB International (Holdings) Limited	12/F, CCB Tower, 3 Connaught Road Central, Central, Hong Kong	Securities and Futures Commission

NAME	ADDRESS	AUTHORITY
China Construction Bank (Russia) limited	Lubyanskiy proezd, 11/1, building 1, 101000 Moscow, Russia	Bank of Russia
China Construction Bank(Malaysia) Berhad	Level 20, MenaraCCB, Quill6, No. 6, Leboh Ampang, Kuala Lumpur, Malaysia	Bank Negara Malaysia
China Construction Bank (Europe) S.A.	16 Boulevard Royal, L-2449 Luxembourg, Luxembourg	Commission De Surveillance du Secteur Financier
China Construction Bank (Europe) S.A Amsterdam Branch	Claude Debussylaan 32, 1082MD Amsterdam, the Netherlands	Commission De Surveillance du Secteur Financier De Nederlandsche Bank Autoriteit Financiële Markten
China Construction Bank (Europe) S.A Barcelona Branch	Avenida Diagonal, 640 5a planta D, 08017, Barcelona, Spain	Commission De Surveillance du Secteur Financier Servicio Ejecutivo de la Comisión de Prevención de Blanqueo de Capitales e Infracciones Monetarias Banco de España
China Construction Bank (Europe) S.A Paris Branch	69 bd Haussmann, 75008 Paris, France	Commission De Surveillance du Secteur Financier Autorité de Contrôle Prudentiel et de Résolution Autorité des Marchés Financiers
China Construction Bank (Europe) S.A Warsaw Branch	Warsaw Financial Centre, ul. Emilii Plater 53, 00-113 Warsaw, Poland	Commission De Surveillance du Secteur Financier Komisja Nadzoru Finansowego Generalny Inspektor Informacji Finansowej
China Construction Bank (Europe) S.A Milan Branch	Via Mike Bongiorno 13, 20124 Milan, Italy	Commission De Surveillance du Secteur Financier Bank of Italy
China Construction Bank (Europe) S.A Hungary Branch	Szabadsag ter 7, 1054 Budapest, Hungary	Commission De Surveillance du Secteur Financier Magyar Nemzeti Bank

NAME	ADDRESS	AUTHORITY
China Construction Bank(New Zealand) Limited	Level 29, the Vero Centre, 48 Shortland Street, Auckland 1010, New Zealand	Reserve Bank of New Zealand
China Construction Bank (Asia) Corporation Limited	28 Floor, CCB Tower, 3 Connaught Road Central, Central, Hong Kong	Hong Kong Monetary Authority
PT Bank China Construction Bank Indonesia Tbk	Sahid Sudirman Centre 15th Floor, Jl. Jend. Sudirman Kav. 86, Jakarta	Otoritas Jasa Keuangan